Standardizing a return to work protocol for back injuries in the workplace

Brian Clay, M.D.





Protection for workers

No fault system Unhindered access to necessary medical care Income protection Tort immunity for employers Coverage under workers' compensation

What does workers' compensation cover after injury/illness Necessary medical care Temporary disability benefits (partial wage replacement) Permanent partial or total disability (impairment) Vocational rehabilitation Survivor benefits (if death because of work-related causes)



Physician's role

Accurately diagnose, develop appropriate treatment plan

Establish restriction, capacity, timeline for return to work

Rely on clinical judgement in determining prognosis, future employability

Advocate for the patient



Defining the injury

Mechanical low back pain Radiculopathy/sciatica Chronic vs. acute Urgent surgical referral





Clinical assessment

Comprehensive history Strength, reflexes, sensation Palpation, posture, ROM Identify neurologic sequelae (radicular symptoms) Assess function (gait/balance)





Diagnostic work-up

Radiographic imaging CT/Magnetic resonance imaging (symptoms > 1 month) Electrodiagnostic testing Laboratory testing





Treatment plan

Avoidance of bedrest Reassurance NSAID's, muscle relaxants Physiotherapy Complementary alternative medicine?

Refractory symptoms: Injections, Surgery





Work Status (Working / Not Working)

PERMANENT TOTAL

DISABILTY



Keys to standardizing a protocol

- 1. Establish Recovery Timeline
- 2. Implement appropriate risk stratification (i.e. work restrictions)
- 3. Incorporate conditioning programs, functional assessments
- 4. Address social and psychological factors

Establishing a timeline



Work related back injury

36% of all work injury diagnoses Median time off of work is 7 days 90% of all cases resolve by 6 weeks* 10% develop chronic LBP and disability 66-84% risk of recurrence within 1 year





Clinical prediction tools

Clin J Pain. 2018 Aug;34(8):748-754. doi: 10.1097/AJP.000000000000591.

Lack of Prognostic Model Validation in Low Back Pain Prediction Studies: A Systematic Review.

McIntosh G¹, Steenstra I², Hogg-Johnson S^{3,4,5}, Carter T¹, Hall H¹.

RESULTS: None of the 21 studies provided validation for the predictors that they documented (neither internal or external validation). On the basis of the study designs and lack of validation, only 2 studies used the correct terminology for describing associations/relationships between independent and dependent variables.

DISCUSSION: Unless researchers and clinicians consider sophisticated and rigorous methods of statistical/external validity for prediction/prognostic findings they will make incorrect assumptions and draw invalid conclusions regarding treatment effects and outcomes. Without proper validation methods, studies that claim to present prediction models actually describe only traits or characteristics of the studied sample.





Unpredictable course

Neuromodulation. 2014 Oct;17 Suppl 2:3-10. doi: 10.1111/ner.12018.

Epidemiology of low back pain in adults.

Manchikanti L1, Singh V, Falco FJ, Benyamin RM, Hirsch JA.

CONCLUSION: Although it has been alleged that low back pain resolves in approximately 80% to 90% of patients in about six weeks, irrespective of the administration or type of treatment, with only 5% to 10% of patients developing persistent back pain, this concept has been frequently questioned as the condition tends to relapse and most patients experience multiple episodes years after the initial attack.

Maximal medical improvement

> 12 months of persistent symptoms
Failure to improve despite further treatment
Increased risk for disability
Determination of impairment
Determination of disability



Impairment

Disability

- Abnormality in physiologic structure
 or function
- Relates exclusively to medical diagnosis
- e.g. lumbar disc herniation

- May vary between individuals with similar impairments
- Non-medical factors +/impairment
- Relates to functional ability
- e.g. inability to lift >20lbs. due to lumbar disc herniation



Risk stratification





FIGURE 40-9 A, Relative change in pressure (or load) in the third lumbar disk in various positions in living subjects. B, Relative change in pressure (or load) in the third lumbar disk during various muscle-strengthening exercises in living subjects. Neutral erect posture is considered 100% in these figures; other positions and activities are calculated in relationship to this. (Modified from Nachemson AL, Waddell G, Norlund AI: Epidemiology of neck and low back pain. In Nachemson AL, Johnsson B, editors: Neck and back pain: The scientific evidence of causes, diagnosis, and treatment, Philadelphia, 2000, Lippincott Williams & Wilkins.)

Accommodate treatment



Modified duty recommendations

Check one	LEVEL:	WEIGHT LIFTED	FREQUENCY OF LIFT	WALK/CARRY	
	A. sedentary	10 lbs. or less	Infrequent	Limited	
		20 lbs. or	Infrequent	2.5 mph (light pace)	
	B. Light	10 lbs. or less	Frequent	10 lbs. or less	
	C. Medium			3.5 mph (medium	
	(regular	50 lbs.	Infrequent	pace)	
	duties)	25 lbs. or less	Frequent	25 lbs. or less	



Predicting return to work

J Rehabil Med. 2005 Nov;37(6):365-71.

Predictors of return to work in patients sick listed for sub-acute low back pain: a 12-month followup study.

Storheim K1, Brox JI, Holm I, Bø K.

CONCLUSION: The predictors identified in the present study may reflect personal risk factors in a patient who gets acute low back pain. On the other hand, they may support that fear of pain and injury may be more disabling than pain itself, and that deconditioning is a result of altered behaviour reflecting attitudes towards low back pain in society, and information and advice given in primary healthcare.

78.5% return to full-time at 12 weeks Fear avoidance belief greatest risk factor for disability



Predicting activity tolerance

Arch Phys Med Rehabil. 2004 May;85(5):837-9.

Sitting and standing tolerance in patients with chronic back pain: comparison between physician prediction and covert observation.

Brokaw JP1, Walker WC, Cifu DX, Gardner M.

RESULTS: Most (124/154, 80.5%) subjects stood for 30 minutes or more and most (124/154, 80.5%) sat for 60 minutes or more. Overall, physicians underpredicted the ability to sit 60 minutes or more and to stand 30 minutes or more. Physician prediction showed poor correlation to covert observation for sitting tolerance (kappa=-.061, P=.221) and standing tolerance (kappa=-.021, P=.727).

	Correct Predictions (patients performed as predicted) (n)	Overestimations (patients performed worse than physician prediction) (n)	Underestimations (patients performed better than physician prediction) (n)	к Value (strength of agreement)	<i>P</i> Value
Sitting tolerance	82	48	24	061	.221
Standing tolerance	93	38	23	021	.727

Table 2: Physician Prediction Compared With Covert Observation

NOTE. Perfect correlation would demonstrate a k value of 1; actual k values approximated 0, showing virtually no correlation between physician prediction and covert observation.

Conditioning programs

Work conditioning

Work hardening

- Failed response to treatment and absenteeism
- +/- MMI
- Daily job task simulation program
- Controlled environment, 2-4 hrs., 3-5 x week

- Multidisciplinary approach
- On site implementation
- 8hrs., M-F
- Generally fixed duration



Role for conditioning programs

Cochrane Database Syst Rev. 2010 Jan 20;(1):CD001822. doi: 10.1002/14651858.CD001822.pub2.

Physical conditioning programs for improving work outcomes in workers with back pain.

Schaafsma F1, Schonstein E, Whelan KM, Ulvestad E, Kenny DT, Verbeek JH.

AUTHORS' CONCLUSIONS: The effectiveness of physical conditioning programs in reducing sick leave when compared to usual care or than other exercises in workers with back pain remains uncertain. In workers with acute back pain, these programs probably have no effect on sick leave, but there may be a positive effect on sick leave for workers with subacute and chronic back pain. Workplace involvement might improve the outcome. Better understanding of the mechanism behind physical conditioning programs and return-to-work is needed to be able to develop more effective interventions.

 \sim

Functional capacity evaluation

Quantified physical ability test done by PT/OT Measures strength, flexibility, endurance Assist in defining work limitations Requires cooperation, subject to confounding Tolerance vs. ability



FCE validity

J Occup Environ Med. 2010 Jul;52(7):719-24. doi: 10.1097/JOM.0b013e3181e48d47.

The predictive validity of job-specific functional capacity evaluation on the employment status of patients with nonspecific low back pain.

Cheng AS1, Cheng SW.

RESULTS: The correct prediction of employment status from an FCE pass rating was 79.8%; fail rating because of not meeting all the criteria of FCE tasks was 61.7%; and fail rating because of failing all FCE tasks was 68.4%.

CONCLUSIONS: Job-specific FCE shows a high level of predictive validity that could be used to evaluate the employment status of patients with nonspecific chronic LBP.



Social and psychosocial factors





Fear avoidance behaviors

Pain Med. 2001 Dec;2(4):259-66.

Fear-avoidance behavior and anticipation of pain in patients with chronic low back pain: a randomized controlled study.

Pfingsten M1, Leibing E, Harter W, Kröner-Herwig B, Hempel D, Kronshage U, Hildebrandt J.

- Control group: "movement will not influence your back pain"
- Experiment group: "movement may lead to an short duration increase of your low back pain"

CONCLUSIONS: Results confirm that pain anticipation and fear-avoidance beliefs significantly influence the behavior of patients with low back pain in that they motivate avoidance behavior. Therapists must be aware of the powerful effects of cognitive processes, which can give rise to fear of pain and, consequently, avoidance behavior.



Secondary gain

Difficult to assess on exam

Inherent bias for medical practitioners and employers

More prevalent in chronic vs. acute pain

Strong correlation with job dissatisfaction

Low income, low socioeconomic status



Waddell signs

- 1. Tenderness: superficial skin, non-anatomic deep structures
- 2. Simulation: axial load provokes LBP
- 3. Distraction: SLR seated and supine
- 4. Regional: give-way weakness, non-dermatomal sensory loss
- 5. Over-reaction: disproportionate pain behavior

3/5 positive = suspect non-organic pain

31 🗡

Reliability of WS

Pain Med. 2003 Jun;4(2):141-81.

A structured evidence-based review on the meaning of nonorganic physical signs: Waddell signs.

Fishbain DA¹, Cole B, Cutler RB, Lewis J, Rosomoff HL, Rosomoff RS.

CONCLUSIONS: Based on the above results, the following conclusions were made: 1) WSs do not correlate with psychological distress; 2) WSs do not discriminate organic from nonorganic problems; 3) WSs may represent an organic phenomenon; 4) WSs are associated with poorer treatment outcome; 5) WSs are associated with greater pain levels; 6) WSs are not associated with secondary gain; and 7) As a group, WS studies demonstrate some methodological problems.



Cognitive behavioral therapy

Eur J Pain. 2013 Jul;17(6):916-28. doi: 10.1002/j.1532-2149.2012.00252.x. Epub 2012 Dec 4.

Efficacy of classification-based cognitive functional therapy in patients with non-specific chronic low back pain: a randomized controlled trial.

Vibe Fersum K¹, O'Sullivan P, Skouen JS, Smith A, Kvåle A.

Patient centered program Better efficacy for chronic low back pain

CONCLUSIONS: The classification-based cognitive functional therapy produced superior outcomes for non-specific chronic low back pain compared with traditional manual therapy and exercise.



Proposed protocol summary

Optimize medical management

Establish recovery timeline

Initiate return to work plan with appropriate limits

Consider psych eval and CBT for chronic refractory pain

Refer for conditioning, FCE for objectivity



Thank you



